LORENTZIAN GEODESIC FLOWS BETWEEN HYPERSURFACES IN EUCLIDEAN SPACES

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VERY PRELIMINARY VERSION

Introduction

We consider the problem of constructing a natural diffeomorphic flow between hypersurfaces M_0 and M_1 of \mathbb{R}^n which is in some sense both "natural" and "geodesic" viewed in some appropriate space (as in figure).



FIGURE 1. Diffeomorphic Flow between hypersurfaces of Euclidean space induced by a "Geodesic Flow" in an associated space

There are several approaches to this question. One is from the perspective of a Riemannian metric on the group of diffeomorphisms of \mathbb{R}^n . If the smooth hypersurfaces M_i bound compact regions Ω_i , then the group of diffeomorphisms $Diff(\mathbb{R}^n)$ acts on such regions Ω_i and their boundaries. Then, if $\varphi_t, 1 \leq t \leq 1$, is a geodesic in $Diff(\mathbb{R}^n)$ beginning at the identity, then $\varphi_t(\Omega)$ (or $\varphi_t(M_i)$) provides a path interpolating between $\Omega_0 = \varphi_0(\Omega) = \Omega$ and $\Omega_1 = \varphi_1(\Omega)$. Then, the geodesic equations can be numerically solved to construct the flow φ_t . This is the method developed by Younes, Trouve, Glaunes, Mumford, Michor, etc.

An alternate approach requires that we have established a correspondence between M_0 and M_1 , which is given by a diffeomorphism $\chi: M_0 \to M_1$, which need not be the restriction of a global diffeomorphism of \mathbb{R}^n . Then, if we may map M_0 and M_1 to submanifolds of a natural ambient space Λ , then we can seek a geodesic flow from M_0 and M_1 , viewed as submanifolds of Λ , sending x to $\varphi(x)$ along a geodesic. Then, we use this geodesic flow to define a flow between M_0 and M_1 back in \mathbb{R}^n .

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The simplest example of this is the "radial flow" from M_0 using the vector field Uon M_0 defined by $U(x) = \varphi(x) - x$. Then, the radial flow is the geodesic flow in \mathbb{R}^n defined by $\varphi_t(x) = x + t \cdot U(x)$. The analysis of the nonsingularity of the radial flow is given in [D1] in the more general context of "skeletal structures". This includes the case where M_1 is a generalized offset surface of M_0 via the generalized offset vector field U.



FIGURE 2. Hypersurface M_0 and radial vector field U define a generalized offset surface M_1 obtained from a radial flow of the skeletal structure (M_0, U) . This is a "Geodesic Flow" in \mathbb{R}^n .

In this paper, we give an alternate approach to interpolation between hypersurfaces with a given correspondence. While the radial flow views the hypersurface as a collection of points, we will instead view it as defined by the collection of tangent spaces. This leads to the "dual variety", which we shall represent as a subspace of a Lorentzian space Λ . Then, the geodesic flow will be for the Lorentzian metric on Λ . Then, we transform that geodesic flow back to a flow between the original manifolds in \mathbb{R}^n .

We shall give conditions that the resulting flow is nonsingular. Furthermore, we deduce the form of the flow when M_1 is obtained from M_0 by standard transformations of \mathbb{R}^n , exhibiting them as appropriate geodesic flows.

This applies even to the case of manifolds with boundaries and provides an answer to a question posed by Stephen Pizer for medial surfaces of regions in \mathbb{R}^3 about whether there is a natural flow between surfaces in \mathbb{R}^3 which generalizes transformations such as translations, homotheties, and rotations.

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1. Overview

As mentioned in the introduction there are two main methods for deforming one given hypersurface $M_0 \subset \mathbb{R}^n$ to another M_1 . One is to find a path ψ_t in G, which is some specified a group of diffeomorphisms of \mathbb{R}^n , from the identity so that $\psi_1(M_0) = M_1$ (and $\psi_0(M_0) = M_0$).

Another approach involves constructing a geometric flow between M_0 and M_1 . Several flows such as curvature flows do not provide a flow to a specific hypersurface such as M_1 . An alternate approach which we shall use will assume that we have a correspondence given by a diffeomorphism $\chi: M_0 \to M_1$ and construct a "geodesic flow" which at time t = 1 gives χ . The geodesic flow will be on an associated space \mathcal{Y} . We shall consider natural maps $\varphi_i: M_i \to \mathcal{Y}$, where \mathcal{Y} is a distinguished space which reflects certain geometric properties of the M_i .

(1.1)
$$\begin{array}{ccc} M_0 & \stackrel{\varphi_0}{\longrightarrow} & \mathcal{Y} \\ \chi \downarrow & \stackrel{\nearrow}{\underset{\varphi_1}{\nearrow}} \\ M_1 \end{array}$$

Definition 1.1. Given smooth maps $\varphi_i : M_i \to \mathcal{Y}$ and a diffeomorphism $\chi : M_0 \to M_1$ A geodesic flow between the maps φ_i is a smooth map $\tilde{\psi}_t : M_0 \times [0, 1] \to \mathcal{Y}$ such that for any $x \in M_0$, $\tilde{\psi}_t(x) : [0, 1] \to \mathcal{Y}$ is a (minimal) geodesic from $\varphi_0(x)$ to $\varphi_1 \circ \chi(x)$

Remark. We shall also refer to the geodesic flow as being between the $M_i = \varphi_i(M_i)$. However, we note that it is possible for more than one $x_i \in M_0$ to map to the same point in $y \in \mathcal{Y}$, however, the geodesic flow from y can differ for each point x_i .

Then, we will complement this with a method for finding the corresponding flow ψ_t between M_0 and M_1 such that $\varphi_t \circ \psi_t = \tilde{\psi}_t$, where $\varphi_t : \psi_t(M_0) \to \tilde{\psi}_t(M_0)$. We furthermore want this flow to satiafy certain properties. The main property is that the flow construction is invariant under the action of the group formed from rigid transformations and homotheties (scalar multiplication). By this we mean: if $M'_0 = A(M_0)$ and $M'_1 = A(M_1)$ are transforms of M_0 and M_1 by a rigid transformation or homothety A, and M_t is the flow between M_0 and M_1 , then $A(M_t)$ gives the flow between M'_0 and M'_1 . Also, it would be desirable if uniform translations, homotheties, and rotations would also give geodesic flows.

We are specifically interested in a "geodesic flow" which will be a flow defined using the tangent bundles TM_0 to TM_1 so that we specifically control the flow of the tangent spaces. At first, an apparent natural choice is the dual projective space $\mathbb{R}P^{n\vee}$. Via the tangent bundle of a hypersurface $M \subset \mathbb{R}^n$ there is the natural map $\delta: M \to \mathbb{R}P^{n\vee}$, sending $x \mapsto T_x M$. The natural Riemannian structure on the real projective space $\mathbb{R}P^{n\vee}$ is induced from S^n via the natural covering map $S^n \to \mathbb{R}P^n$, so that geodesics of S^n map to geodesics on $\mathbb{R}P^{n\vee}$. However, simple examples show that the induced geodesic slow on $\mathbb{R}P^{n\vee}$ is not invariant under translation in \mathbb{R}^n . In fact, this Riemannian geodesic flow between the hyperplanes Given by $\mathbf{n} \cdot \mathbf{x} = c_0$ and $\mathbf{n} \cdot \mathbf{x} = c_1$ is given by $\mathbf{n} \cdot \mathbf{x} = c_t$, where $c_t = \tan(t \arctan(c_1) + (1-t) \arctan(c_0))$. It is easily seen that if we translate the two planes by adding a fixed amount d to each c_i , then the corresponding formula does not give the translation of the first.

We will use an alternate space for \mathcal{Y} , namely, the Lorentzian space Λ^{n+1} which is a Lorentzian subspace of Minkowski space $\mathbb{R}^{n+2,1}$. In fact the images will be in a special subspace $\mathcal{R} \subset \Lambda^{n+1}$. On Λ^{n+1} it is classical that the geodesics are intersections with planes through the origin in $\mathbb{R}^{n+2,1}$. This allows a simple description of the geodesic flow on Λ^{n+1} . We transfer this flow to a flow on \mathbb{R}^n using an inverse envelope construction, which reduces to solving systems of linear equations. We will give conditions for the smoothness of the inverse construction which uses knowledge of the generic Legendrian singularities.

We shall furthermore see that the construction is invariant under the action of rigid transformations and homotheties. In addition, uniform translations and homotheties will be geodesic flows, and a variant of uniform rotation is also a geodesic flow.

2. Semi-Riemannian Manifolds and Lorentzian Manifolds

A Semi-Riemannian manifold M is a smooth manifold M, with a nondegenerate bilnear form $\langle \cdot, \cdot \rangle_x$ on the tangent space $T_x M$, for each $x \in M$ which smoothly varyies with x. We do not require that $\langle \cdot, \cdot \rangle_x$ be positive definite. We denote the index of $\langle \cdot, \cdot \rangle_{(x)}$ by ν , In the case that $\nu = 1$, M is referred to as a Lorentzian manifold.

A basic example is Minkowski space which is \mathbb{R}^{n+1} and bilinear form defined for $v = (v_1, \ldots, v_{n+1})$ and $w = (w_1, \ldots, v_{n+1})$

$$\langle v, w \rangle_L = \sum_{i=1}^n v_i \cdot w_i - v_{n+1} \cdot w_{n+1}$$

There are a number of different notations for Minkowski space. We shall use $\mathbb{R}^{n+1,1}$. We shall also use the notation $\langle \cdot, \cdot \rangle_L$ for the Lorentzian inner product on $\mathbb{R}^{n+1,1}$.

A submanifold N of a semi-Riemannian manifold M is a semi-Riemannian submanifold if for each $x \in N$, the restriction of $\langle \cdot, \cdot \rangle_{(x)}$ to $T_x N$ is nondegenerate. There are several important submanifolds of $\mathbb{R}^{n+1,1}$. One such is the Lorentzian submanifold

$$\Lambda^{n} = \{ (v_{1}, \dots, v_{n+1}) \in \mathbb{R}^{n+1,1} : \sum_{i=1}^{n} v_{i}^{2} - v_{n+1}^{2} = 1 \},\$$

which is called de Sitter space (see Fig. 3). A second important one is *hyperbolic* space \mathbb{H}^n defined by

$$\mathbb{H}^{n} = \{ (v_{1}, \dots, v_{n+1}) \in \mathbb{R}^{n+1,1} : \sum_{i=1}^{n} v_{i}^{2} - v_{n+1}^{2} = 1 \text{ and } v_{n+2} > 0 \}.$$

By contrast the restriction of $\langle \cdot, \cdot \rangle_L$ to \mathbb{H}^n is a Riemannian metric of constant negative curvature -1. There is natural duality between codimension 1 submanifolds of \mathbb{H}^n obtained as the intersection of \mathbb{H}^n with a "time-like" hyperplane Π through 0 (containing a "time-like" vector z with $\langle z, z \rangle_L \langle 0 \rangle$ paired with the points $\pm z' \in \Lambda^n$ given where z' lies on a line through the origin which is the Lorentzian orthogonal complement to Π .

Many of the results which hold for Riemannian manifolds also hold for a Semi-Riemannian manifold M.

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FIGURE 3. In Minkowski space $\mathbb{R}^{n+2,1}$, there is the Lorentzian hypersurface Λ^{n+1} and the model for hyperbolic space \mathbb{H}^{n+1} . Also shown is the "light cone".

2.1 (Basic properties of Semi-Riemannian Manifolds (see [ON]).

For a Semi-Riemannian manifold M, there are the following properties analogous to those for Riemannian manifolds:

- (1) Smooth Curves on M have lengths defined using $|\langle \cdot, \cdot \rangle|$.
- (2) There is a unique connection which satisfies the usual properties of a Riemannian Levi-Civita connection.
- (3) Geodesics are defined locally from any point $x \in M$ and with any initial velocity $v \in T_x M$. They are critical curves for the length functional, and they have constant speed.
- (4) If N is a semi-Riemannian submanifold of M, then a constant speed curve $\gamma(t)$ in N is a geodesic in N if the acceleration $\gamma''(t)$ is normal to N (with respect to the semi-Riemannian metric) at all points of $\gamma(t)$.
- (5) Any point $x \in M$ has a "convex neighborhood"W, which has the property that any two points in W are joined by a unique geodesic in the neighborhood.
- (6) If γ(t) is a geodesic joining x₀ = γ(0) and x₁ = γ(1) and x₀ and x₁ are not conjugate along γ(t), then given a neighborhood W of γ(t), there are neighborhoods of W₀ of x₀ and W₁ of x₁ so that if x'₀ ∈ W₀, and x'₁ ∈ W₁, there is a unique geodesic in the neighborhood W from x'₀ to x'₁.

As an example, it is straightforward to verify that for any $z \in \Lambda$, the vector z is orthogonal to Λ at the point z. Suppose P is a plane in $\mathbb{R}^{n+1,1}$ containing the origin. Let $\gamma(t)$ be a constant Lorentzian speed parametrization of the curve obtained by intersecting P with Λ . Then, by a standard argument similar to that for the case of a Euclidean sphere, $\gamma(t)$ is a geodesic. All geodesics of Λ are obtained in this way. It follows that the submanifolds of Λ obtained by intersecting Λ with a linear subspace is a totally geodesic submanifold of Λ .

3. Dual Varieties and Singular Lorentzian Manifolds

Given a smooth hypersurface $M \in \mathbb{R}^n$, we define a natural map from M to Λ^{n+1} . First, we let S^{n+1} denote the unit sphere in \mathbb{R}^{n+1} centered at the origin, and we let $\mathbf{e}_{n+1} = (0, \ldots, 0, 1) \in \mathbb{R}^{n+1}$. Then, stereographic projection defines a map $p: S^{n+1} \setminus \{\mathbf{e}_{n+1}\} \to \mathbb{R}^n$ sending y to the point where the line from \mathbf{e}_{n+1} to y intersects \mathbb{R}^n . Given a hyperplane Π in \mathbb{R}^n , it together with \mathbf{e}_{n+1} spans a hyperplane Π' in $\mathbb{R}^{n+1} \times \{\mathbf{e}_{n+2}\}$. The intersection of this plane with S^{n+1} is an n-sphere. Identifying \mathbb{R}^{n+1} with the hyperplane Π'' in \mathbb{R}^{n+2} defined by $x_{n+2} = 1$. Then, Π' together with 0 spans a hyperplane Π'' in \mathbb{R}^{n+2} . This hyperplane is time-like because Π'' intersects $\mathbb{R}^{n+1} \times \{\mathbf{e}_{n+2}\}$ in a hyperplane Π' which intersects the unit sphere in $\mathbb{R}^{n+1} \times \{\mathbf{e}_{n+2}\}$ in a sphere, hence it intersects the interior diisk. Then, the duality associates a pair of points z and -z in Λ^{n+1} which lie on a common line through the origin.

In order to obtain a single valued map, there are two possibilities: Either we consider the induce map to $\tilde{\Lambda}^{n+1} = \Lambda^{n+1} / \sim$, where \sim identifies each pair of points z and -z of Λ^{n+1} ; or we need on M a smooth normal unit vector field **n** orienting M. Given the normal vector field **n**, it defines a distinguished side of $T_x M$. If this is Π , then we obtain a distinguished side for Π' and then Π'' , which singles out one of the two points in Λ^{n+1} on the distinguished side. We shall refer to this second case as the oriented case.

We shall use both versions of the maps. In fact, the image lies in the submanifold \mathcal{R} of Λ^{n+1} defined by

$$\mathcal{R} = \{(\mathbf{n}, c\boldsymbol{\epsilon}) : \mathbf{n} \in S^{n-1}, c \in \mathbb{R}\}$$

which we can view as a submanifold $\mathcal{R} \subset \Lambda^{n+1}$; or in the general case it lies in $\tilde{\mathcal{R}}$. We denote the general form of the map by $\tilde{\mathcal{L}} : M \to \tilde{\mathcal{R}}$, and the oriented form by $\mathcal{L} : M \to \mathcal{R}$.

We can give a coordinate definitions for the maps. If $T_x M$ is defined by $\mathbf{n} \cdot \mathbf{x} = c$, where $\mathbf{x} = (x_1, \ldots, x_n)$. Then, Π' contains $T_x M$ and \mathbf{e}_{n+1} and so is defined by $\mathbf{n} \cdot \mathbf{x} + cx_{n+1} = c$. Then, Π'' contains $\Pi' \times \{\mathbf{e}_{n+2}\}$ and the origin so it is defined by $\mathbf{n} \cdot \mathbf{x} + cx_{n+1} - cx_{n+2} = 0$. Thus, the Lorentzian orthogonal line is spanned by (\mathbf{n}, c, c) , which we write in abbreviated form as $(\mathbf{n}, c\epsilon)$ with $\epsilon = (1, 1)$. Hence, the map $\mathcal{L} : M \to \Lambda^{n+1}$ sends x to $(\mathbf{n}, c\epsilon)$, and the general case sends it to the equivalence class in $\tilde{\mathcal{R}}$ determined by $(\mathbf{n}, c\epsilon)$. We shall be concerned with a subspace of Λ^{n+1} where this duality corresponds to hypersurfaces of \mathbb{R}^n . The general correspondence is used in [OH] to parametrize (n-1)-dimensional spheres in \mathbb{R}^n .

Definition 3.1. Given a smooth hypersurface $M \in \mathbb{R}^n$, with a smooth normal vector field **n** on M, the *(oriented) Lorentz map* is the natural map $\mathcal{L} : M \to \mathcal{R}$ defined by $\mathcal{L}(x) = (\mathbf{n}, c\boldsymbol{\epsilon})$, where $T_x M$ is defined by $\mathbf{n} \cdot \mathbf{x} = c$. In the general case, we choose a local normal vector field and then $\tilde{\mathcal{L}}(x)$ is the equivalence class of $(\mathbf{n}, c\boldsymbol{\epsilon})$ in $\tilde{\mathcal{R}}$.

In the following we shall generally concentrate on the oriented case and the map \mathcal{L} , with the general case just involving considering the map to equivalence classes. There are two questions concerning \mathcal{L} . One is when \mathcal{L} is nonsingular, and at singular points what can we say about the local properties of \mathcal{L} when M is

generic. The second question is how we may construct the inverse of \mathcal{L} when it is a local embedding (or immersion).

Relation with the Dual Variety. Suppose that $M \subset \mathbb{R}^n$ is a smooth hypersurface. There is a natural way to associate a corresponding "dual variety" M^{\vee} in the dual projective space $\mathbb{R}P^{n\vee}$ (which consists of lines through the origin in the dual space \mathbb{R}^{n+1*}). Given a hyperplane $\Pi \subset \mathbb{R}^n$, it is defined by an equation $\sum_{i=1}^n a_i x_i = b$. We associate the linear form $\alpha : \mathbb{R}^{n+1} \to \mathbb{R}$ defined by $\alpha(x_1, \ldots, x_{n+1}) = \sum_{i=1}^n a_i x_i - b x_{n+1}$. As the equation for Π is only well defined up to multiplication by a constant, so is α , which defines a unique line in \mathbb{R}^{n+1*} . This then defines a *dual mapping* $\delta : M \to \mathbb{R}P^{n\vee}$, sending $x \in M$ to the dual of $T_x M$.

In the context of algebraic geometry in the complex case, this map actually extends to a dual map for a smooth codimension 1 algebraic subvariety $M \subset \mathbb{C}P^n$, and then the image $M^{\vee} = \delta(M)$ is again a codimension 1 algebraic subvariety of $\mathbb{C}P^{n}^{\vee}$. There is an inverse dual map δ^{\vee} for smooth codimension 1 algebraic subvarieties of $\mathbb{C}P^{n}^{\vee}$ to $\mathbb{C}P^n$ defined again using the tangent spaces. Hence, $\delta^{\vee} : M^{\vee} \to \mathbb{C}P^n$. It is only defined on smooth points of M^{\vee} (which may have singularities); however it extends to the singular points of M^{\vee} and its image is the original M.

In our situation, we are working over the reals and moreover M will not be defined by algebraically. Hence, we need to determine what properties both δ and M^{\vee} have. We also will explain the relation with the Lorentz map.

Legendrian Projections. Given M, we let $P(\mathbb{R}^{n+1*})$ denote the projective bundle $\mathbb{R}^n \times \mathbb{R}P^{n\vee}$, where as earlier $\mathbb{R}P^{n\vee}$ denotes the dual projective space. Then, we have an embedding $i: M \to P(\mathbb{R}^{n+1*})$, where $i(x) = (x, <\alpha_x >)$, with α_x the linear form associated to $T_x M$ as above. We let $\tilde{M} = i(M)$. There is a projection map $\pi : P(\mathbb{R}^{n+1*}) \to \mathbb{R}P^{n\vee}$. Then, by results in Arnol'd, π is a Legendrian projection, and for generic M, \tilde{M} is a generic Legendrian submanifold of $P(\mathbb{R}^{n+1*})$ and the restriction $\pi | \tilde{M} \circ i$ is exactly δ . Hence the properties of δ are exactly those of the Legendrian projection. In particular the singularities of $M^{\vee} = \pi(\tilde{M})$ are generic Legendrian singularities, which are the singularities appearing in discriminants of stable mappings [Arnold].

In the case of surfaces in \mathbb{R}^3 , these are: cuspidal edge, a swallowtail, transverse intersections of two or three smooth surfaces, and the transverse intersection of a smooth surface with a cuspidal edge (as shown in Fig. 4). The characterization of these singularities implies that as we approach a singular point from one of the connected components, then there is a unique limiting tangent plane, and in the case of the cuspidal edge or swallowtail, the limiting tangent plane is the same for each component. Hence, for generic smooth hypersurfaces $M \subset \mathbb{R}^n$, the inverse dual map δ^{\vee} extends to all of M^{\vee} , and again will have image M.

Finally, we remark about the relation between the dual variety M^{\vee} and the image $M_{\mathcal{L}} = \mathcal{L}(M)$ (or $M_{\tilde{\mathcal{L}}} = \tilde{\mathcal{L}}(M)$). To do so, we introduce a mapping involving $\mathbb{R}P^{n}^{\vee}$ and $\tilde{\mathcal{R}}$. In $\mathbb{R}P^{n}^{\vee}$, there is the distinguished point $\infty = \langle (0, \ldots, 0, 1) \rangle$. On



FIGURE 4. Generic Singularities for Legendrian projections of Legendrian surfaces: a) cuspidal edge, b) swallowtail, c) transverse intersection of cuspidal edge and smooth surface, d) transverse intersection of two smooth surfaces, and e) transverse intersection of three smooth surfaces.

 $\mathbb{R}P^{n} \setminus \{\infty\}$, we may take a point $\langle (y_1, \ldots, y_n, y_{n+1}) \rangle$, and normalize it by

$$(y'_1, \dots, y'_n, y'_{n+1}) = c \cdot (y_1, \dots, y_n, y_{n+1}), \text{ where } c = (\sum_{i=1}^n y_i^2)^{-\frac{1}{2}}$$

Then, $\mathbf{n}_y = (y'_1, \ldots, y'_n)$ is a unit vector. We then define a map $\nu : \mathbb{R}P^n \lor \setminus \{\infty\} \to \tilde{\mathcal{R}}$ sending $\langle (y_1, \ldots, y_n, y_{n+1}) \rangle$ to $(\mathbf{n}_y, y'_{n+1} \boldsymbol{\epsilon})$. This is only well defined up to multiplication by -1, which is why we must take the equivalence class in the pair of points. If we are on a region of $\mathbb{R}P^n \lor \setminus \{\infty\}$ where we can smoothly choose a direction for each line corresponding to a point in $\mathbb{R}P^n \lor$, then as for the case of the Lorentzian mapping, we can give a well-defined map to \mathcal{R} . This will be so when we consider M^{\lor} for the oriented case. In such a situation, when the smooth hypersurface M has a smooth unit normal vector field \mathbf{n} , it provides a positive direction in the line of linear forms vanishing on $T_x M$.

Then, we have the following relations.

Lemma 3.2. The smooth mapping $\tilde{\nu} : \mathbb{R}P^n \vee \setminus \{\infty\} \to \tilde{\mathcal{R}}$ is a diffeomorphism.

Second, there is the relation between the duality map δ and the Lorentz map $\hat{\mathcal{L}}$ (or \mathcal{L}).

Lemma 3.3. If $M \subset \mathbb{R}^n$ is a smooth hypersurface, then the diagram 3.1 commutes, *i.e.* $\tilde{\nu} \circ \delta = \tilde{\mathcal{L}}$. If, in addition, M has a smooth unit normal vector field \mathbf{n} , then there is the oriented version of diagram 3.1, $\nu \circ \delta = \mathcal{L}$.

$$(3.1) \qquad \begin{array}{ccc} M & \stackrel{\delta}{\longrightarrow} & \mathbb{R}P^n \\ & \searrow & \downarrow \tilde{\nu} \\ & \tilde{\mathcal{L}} \\ & & \tilde{\mathcal{R}} \end{array}$$

Figure 3.1 Commutative diagram relating the dual map and Lorentzian map.

As a consequence of these Lemmas and our earlier discussion about the singularities of M^{\vee} , we conclude that $M_{\tilde{\mathcal{L}}}$ (or $M_{\mathcal{L}}$) have the same singularities. Thus, we may suppose they are generic Legendrian singularities. Although we have by Lemma 3.2 that $\mathbb{R}P^{n}^{\vee} \setminus \{\infty\}$ is diffeomorphic to $\tilde{\mathcal{R}}$, the first space has a natural Riemannian structure while on $\tilde{\mathcal{R}}$ we have a Lorentzian metric.

Proof of Lemma 3.2. There is a natural inverse to $\tilde{\nu}$ defined as follows: If $z = (\mathbf{n}, c\boldsymbol{\epsilon})$ and $\mathbf{n} = (a_1, \ldots, a_n)$, then we map z to $\langle (a_1, \ldots, a_n, -c) \rangle$. We note that replacing z by -z does not change the line $\langle (a_1, \ldots, a_n, -c) \rangle$. This gives a welldefined smooth map $\tilde{\mathcal{R}} \to \mathbb{R}P^{n} \vee \setminus \{\infty\}$ which is easily checked to be the inverse of $\tilde{\nu}$.

Proof of Lemma 3.3. If $T_x M$ is defined by $\mathbf{n} \cdot \mathbf{x} = c$ with $\mathbf{n} = (a_1, \ldots, a_n)$, then $\delta(x) = \langle (a_1, \ldots, a_n, -c) \rangle$. Then, as $\|\mathbf{n}\| = 1$, $\tilde{\nu}(\langle (a_1, \ldots, a_n, -c) \rangle) = (a_1, \ldots, a_n, c, c) = (\mathbf{n}, c\epsilon)$, which is exactly $\mathcal{L}(x)$.

Inverses of the Dual Variety and Lorentzian Mappings. We consider how invert both δ and $\tilde{\Lambda}$. We earlier remarked that in the complex algebraic setting, the inverse to δ is again a dual map δ^{\vee} . As $\tilde{\nu}$ is a diffeomorphism, and diagram 3.1 commutes, inverting δ is equivalent to inverting $\tilde{\Lambda}$. Also, constructing an inverse is a local problem, so we may as well consider the oriented case.

Proposition 3.4. Let $M \subset \mathbb{R}^n$ be a generic smooth hypersurface with a smooth unit normal vector field **n**. Suppose that the image $M_{\mathcal{L}}$ under \mathcal{L} is a smooth submanifold of \mathcal{R} . Then, M is obtained as the envelope of the collection of hyperplanes defined by $\mathbf{n} \cdot \mathbf{x} = c$ for $\mathcal{L}(x) = (\mathbf{n}, c\epsilon)$.

Proof of Proposition 3.4. We consider an (n-1)-dimensional submanifold of \mathcal{R} parametrized by $u \in U$ given by $(\mathbf{n}(u), c(u)\boldsymbol{\epsilon})$. The collection of hyperplanes are given by Π_u defined by $F(\mathbf{x}, u) = \mathbf{n}(u) \cdot \mathbf{x} - c(u) = 0$. Then, the envelope is defined by the collection of equations $F_{u_i} = 0, i = 1, \ldots, n-1$ and F = 0. This is the system of linear equations

(3.2) i)
$$\mathbf{n}(u) \cdot \mathbf{x} = c(u)$$
 and ii) $\mathbf{n}_{u_i}(u) \cdot \mathbf{x} = c_{u_i}(u), i = 1, \dots, n-1$

A sufficient condition that there exist for a given u a unique solution to the system of linear equations in \mathbf{x} is that the vectors $\mathbf{n}, \mathbf{n}_{u_1}, \ldots, \mathbf{n}_{u_{n-1}}$ are linearly independent. Since $\mathbf{n}_{u_i} = -S(\frac{\partial}{\partial u_i})$, for S the shape operator for M, linear independence is equivalent to S not having any 0-eigenvalues. Thus, \mathbf{x} is not a parabolic point of M. For generic M, the set of parabolic points is a statified set of codimension 1 in M. Thus, off the image of this set, there is a unique point in the envelope.

Also, if we differentiate equation (3.2)-i) with respect to u_i we obtain

(3.3)
$$\mathbf{n}_{u_i}(u) \cdot \mathbf{x} + \mathbf{n}(u) \cdot \mathbf{x}_{u_i} = c_{u_i}(u)$$

Combining this with (3.2)-ii), we obtain

$$\mathbf{n}(u) \cdot \mathbf{x}_{u_i} = 0,$$

and conversely, (3.4) for i = 1, ..., n-1 and (3.3) imply (3.2)-ii). Thus, if we choose a local parametrization of M given by $\mathbf{x}(u)$, then as $\mathbf{x}(u)$ is a point in its tangent

space, it satisfies (3.2)-i), and hence (3.3), and also **n** being a normal vector field implies that (3.4) is satisfied for all *i*. Thus, (3.2)-ii) is satisfied. Hence, *M* is part of the envelope. Also, for generic points of *M*, by the implicit function theorem, the set of solutions of (3.2) is locally a submanifold of dimension n - 1. Hence, in a neighborhood of these generic points of *M*, the envelope is exactly *M*. Hence, the closure of this set is all of *M* and still consists of solutions of (3.2). Thus, we recover *M*.

Second, to see that the equations (3.2) describe the inverse of the dual mapping, we note by Lemmas 3.2 and 3.2 that $\tilde{\nu}$ is a diffeomorphism, $\delta^{-1} = \tilde{\mathcal{L}}^{-1} \circ \tilde{\nu}$, and the preceding argument gives the local inverse to $\tilde{\mathcal{L}}$.

4. Lorentzian Geodesic Flow on Λ^{n+1}

We give the general formula for the geodesic flow between $z_0 = (\mathbf{n}_0, d_0 \boldsymbol{\epsilon})$ and $z_1 = (\mathbf{n}_1, d_1 \boldsymbol{\epsilon})$. We let $-\frac{\pi}{2} < \theta < \frac{\pi}{2}$ be defined by $\cos \theta = \mathbf{n}_0 \cdot \mathbf{n}_1$. Then, we define the function $\lambda(x, \theta)$ by

(4.1)
$$\lambda(x,\theta) = \begin{cases} \frac{\sin(x\theta)}{\sin(\theta)} & \theta \neq 0\\ x & \theta = 0 \end{cases}$$

Then, $\sin(z)$ is a holomorphic function of z, and the quotient $\frac{\sin(x\theta)}{\sin(\theta)}$ has removable singularities along $\theta = 0$ with value x. Hence, $\lambda(z, \theta)$ is a holomorphic function of (z, θ) on $\mathbb{C} \times (-\pi, \pi)$, and so analytic on $\mathbb{R} \times (-\pi, \pi)$. Also, directly computing the derivative we obtain

(4.2)
$$\frac{\partial\lambda((x,\theta)}{\partial x} = \begin{cases} \cos(x\theta) \cdot \frac{\theta}{\sin\theta} & \theta \neq 0\\ 1 & \theta = 0 \end{cases}$$

Using $\lambda(t,\theta)$ we determine the geodesic curve between z_0 and z_1 .

Proposition 4.1. The geodesic curve $\gamma(t)$ in Λ from $\gamma(0) = z_0$ and $\gamma(1) = z_1$ for the Lorentzian metric on Λ is given by

(4.3)
$$\gamma(t) = \lambda(t,\theta) z_1 + \lambda(1-t,\theta) z_0 \quad \text{for } 0 \le t \le 1$$

This curve lies in \mathcal{R} for $0 \leq t \leq 1$.

Remark 4.2 (Invariance of Lorentzian Geodesic Flow). The Geodesic flow given in Proposition 4.1 is invariant under the group of rigid transformations and scalar multiplications. By this we mean the following. Suppose $z_i = (\mathbf{n}_i, c_i) \in \mathcal{R}$, i = 1, 2. Let Π_i be the hyperplane determined by z_i . Let ψ be a composition of scalar multiplication by b followed by a rigid transformation so $\psi(\mathbf{x}) = b A(\mathbf{x}) + \mathbf{p}$, with A an orthogonal transformation. Then, $\Pi'_i = \psi(\Pi_i)$ is defined by

$$\psi(z_i) = \psi(\mathbf{n}_i, c_i) = (A(\mathbf{n}_i), bc_i + \mathbf{n}_i \cdot \mathbf{p}).$$

If $\gamma(t) = (\mathbf{n}_t, c_t)$ is the Lorentzian geodesic flow between z_0 and z_1 , then $\tilde{\psi}(\gamma(t))$ is the Lorentzian geodesic flow between $\tilde{\psi}(z_0)$ and $\tilde{\psi}(z_1)$. See §7.

We can expand the expression for $\gamma(t)$ and obtain the family of hyperplanes Π_t in \mathbb{R}^n . Expanding (4.3) we obtain

(4.4)
$$n_t = \lambda(t,\theta) \mathbf{n}_1 + \lambda(1-t,\theta) \mathbf{n}_0 \quad and$$
$$c_t = \lambda(t,\theta) c_1 + \lambda(1-t,\theta) c_0$$

Then the family Π_t is given by

(4.5)
$$\Pi_t = \{\mathbf{x} = (x_1, \dots, x_n) \in \mathbb{R}^n : \mathbf{x} \cdot \mathbf{n}_t = c_t\}$$

We can also compute the initial velocity for the geodesic in (4.3).

Corollary 4.3. The initial velocity of the geodesic (4.3) with $\theta \neq 0$ is given by

(4.6)
$$\gamma'(0) = \frac{\theta}{\sin\theta} \cdot (\operatorname{proj}_{\mathbf{n}_0}(\mathbf{n}_1), (c_1 - \cos\theta c_0)\epsilon)$$

where $\operatorname{proj}_{\mathbf{n}_0}$ denotes projection along \mathbf{n}_0 onto the line spanned by \mathbf{w} . If $\theta = 0$, then $\mathbf{n}_0 = \mathbf{n}_1$ and the velocity is $(0, (c_1 - c_0)\epsilon)$ (with Lorentzian speed 0).

Remark . Note that

$$\|(\operatorname{proj}_{\mathbf{n}_0}(\mathbf{n}_1), (c_1 - \cos\theta c_0)\boldsymbol{\epsilon})\|_L = \|\operatorname{proj}_{\mathbf{n}_0}(\mathbf{n}_1)\|$$

which equals $\sin \theta$. We conclude the Lorentzian magnitude of $\gamma'(0)$ is θ . Since geodesics have constant speed, the geodesic will travel a distance $|\theta|$. Hence, $|\theta|$ is the Lorentzian distance between z_0 and z_1 .

Proof of Proposition 4.1. Let P be the plane in $\mathbb{R}^{n+1,1}$ which contains 0, z_0 and z_1 . The geodesic curve between z_0 and z_1 is obtained as a constant Lorentzian speed parametrization of the curve obtained by intersecting P with Λ . We choose a unit vector $\mathbf{w} \in \Pi$ such that \mathbf{n}_1 is in the plane through the origin spanned by \mathbf{n}_0 and \mathbf{w} . Let θ be the angle between \mathbf{n}_0 and \mathbf{n}_1 so $\cos \theta = \mathbf{n}_0 \cdot \mathbf{n}_1$. Then, $\mathbf{n}_1 - (\mathbf{n}_1 \cdot \mathbf{n}_0) \mathbf{n}_0$ is the projection of \mathbf{n}_1 along \mathbf{n}_0 onto the line spanned by \mathbf{w} . It equals $\mathbf{n}_1 - \cos \theta \, \mathbf{n}_0 = \sin \theta \, \mathbf{w}$.

Then, a tangent vector to $\Lambda^{n+1} \cap P$ at the point z_0 is given by

(4.7)
$$(\mathbf{n}_1 - \cos\theta \,\mathbf{n}_0, (c_1 - \cos\theta \,c_0)\boldsymbol{\epsilon}) = (\sin\theta \,\mathbf{w}, (c_1 - \cos\theta \,c_0)\boldsymbol{\epsilon})$$

Then, we seek a Lorentzian geodesic $\gamma(t)$ in the plane P beginning at $(\mathbf{n}_0, c_0 \boldsymbol{\epsilon})$ with initial velocity in the direction $(\sin \theta \mathbf{w}, (c_1 - \cos \theta c_0) \boldsymbol{\epsilon})$. Consider the curve

(4.8)
$$\gamma(t) = (\cos(t\theta)\mathbf{n}_0 + \sin(t\theta)\mathbf{w}, (\cos(t\theta)c_0 + \frac{\sin(t\theta)}{\sin(\theta)}(c_1 - \cos\theta c_0))\boldsymbol{\epsilon})$$

First, note that $\gamma(0) = z_0$, and $\gamma(1) = z_1$. Also, This curve lies in the plane spanned by z_0 and (4.7). Also,

$$\|\gamma(t)\|_L = \|\cos(t\theta)\mathbf{n}_0 + \sin(t\theta)\mathbf{w}\| = 1$$

as \mathbf{n}_0 and \mathbf{w} are orthogonal unit vectors. Hence, $\gamma(t)$ is a curve parametrizing $\Lambda^{n+1} \cap P$. It remains to show that γ'' is Lorentzian orthogonal to Λ^{n+1} to establish that it is a Lorentzian geodesic from z_0 to z_1 . A computation shows

$$\gamma''(t) = -\theta^2(\cos(t\theta)\mathbf{n}_0 + \sin(t\theta)\mathbf{w}, \frac{\sin(t\theta)}{\sin(\theta)}(c_1 - \cos\theta c_0)\boldsymbol{\epsilon})$$

which is $-\theta^2 \gamma(t)$, and hence Lorentzian orthogonal Λ^{n+1} .

Because of the fraction $\frac{\sin(t\theta)}{\sin(\theta)}$, we have to note that when $\theta = 0$, then $\mathbf{n}_0 = \mathbf{n}_1$ and $\gamma(t)$ takes the simplified form

$$\gamma(t) = (\mathbf{n}_0, c_0 + t(c_1 - c_0))\boldsymbol{\epsilon})$$

which is still a Lorentzian geodesic between z_0 to z_1 .

Lastly, we must show that this agrees with (4.3). First, consider the case where $\theta \neq 0$.

$$\mathbf{w} = \frac{1}{\sin\theta} \left(\mathbf{n}_1 - \cos\theta \, \mathbf{n}_0 \right)$$

Substituting this into the first term of the RHS of (4.8), we obtain

$$\frac{1}{\sin\theta}(\sin\theta\,\cos(t\theta) - \cos\theta\,\sin(t\theta))\,\mathbf{n}_0 \,+\,\frac{\sin(t\theta)}{\sin\theta}\,\mathbf{n}_1$$

which by the formula for the sine of the difference of two angles equals

$$\frac{\sin((1-t)\theta)}{\sin\theta}\mathbf{n}_0 + \frac{\sin(t\theta)}{\sin\theta}\mathbf{n}_1$$

Analogously, we can compute the second term in th RHS of (4.8), to be

$$\frac{\sin((1-t)\theta)}{\sin\theta}c_0 + \frac{\sin(t\theta)}{\sin\theta}c_1$$

This gives (4.3) when $\theta \neq 0$. When $\theta = 0$, $\mathbf{n}_0 = \mathbf{n}_1$ and the derivation of (4.3) from (4.8) for $\theta = 0$ is easier.

5. Sufficient Condition for Smoothness of Envelopes

To describe the induced "geodesic flow" between hypersurfaces M_0 and M_1 in \mathbb{R}^n , we will use the Lorentzian geodesic flow in \mathcal{R} and then find the corresponding flow by applying an inverse to \mathcal{L} . We begin by constructing the inverse for a (n-1)-dimensional manifold in \mathcal{R} parametrized by $(\mathbf{n}(u), c(u)\boldsymbol{\epsilon})$, where $u = (u_1, \ldots, u_{n-1})$. We determine when the associated family of hyperplanes $\Pi_u = \{\mathbf{x} \in \mathbb{R}^n : \mathbf{n}(u) \cdot \mathbf{x} = c(u)\}$. has envelope a smooth hypersurface in \mathbb{R}^n .

We introduce a family of vectors in \mathbb{R}^{n+1} given by $\tilde{\mathbf{n}}(u) = (\mathbf{n}(u), -c(u))$. We also denote $\frac{\partial \tilde{\mathbf{n}}}{\partial u_i}$ by $\tilde{\mathbf{n}}_{u_i}$. Next we consider the *n*-fold cross product in \mathbb{R}^{n+1} , denoted by $v_1 \times v_2 \times \cdots \times v_n$, which is the vector in \mathbb{R}^{n+1} whose *i*-th coordinate is $(-1)^{i+1}$ times the $n \times n$ determinant obtained from the entries of v_1, \ldots, v_n by removing the *i*-th entries of each v_j . Then, for any other vector v,

$$v \cdot (v_1 \times v_2 \times \cdots \times v_n) = \det(v, v_1, \dots, v_n)$$

We let

$$\tilde{\mathbf{h}} = \tilde{\mathbf{n}} \times \tilde{\mathbf{n}}_{u_1} \times \cdots \times \tilde{\mathbf{n}}_{u_{n-1}}$$

We let $H(\tilde{\mathbf{n}})$ denote the $(n-1) \times (n-1)$ matrix of vectors $\tilde{\mathbf{n}}_{u_i u_j}$. Then we can form $H(\tilde{\mathbf{n}}) \cdot \tilde{\mathbf{h}}$ to be the $(n-1) \times (n-1)$ matrix with entries $\tilde{\mathbf{n}}_{u_i u_j} \cdot \tilde{\mathbf{h}}$. Then, there is the following determination of the properties of the envelope of $\{\Pi_u\}$.

Proposition 5.1. Suppose we have an (n-1)-dimensional manifold in \mathcal{R} parametrized by $(\mathbf{n}(u), c(u)\boldsymbol{\epsilon})$, where $u = (u_1, \ldots, u_{n-1})$. We let $\{\Pi_u\}$ denote the associated family of hyperplanes. Then, the envelope of $\{\Pi_u\}$ has the following properties.

- i) There is a unique point \mathbf{x}_0 on the envelope corresponding to u_0 provided $\mathbf{n}(u_0), \mathbf{n}_{u_1}(u_0), \dots, \mathbf{n}_{u_{n-1}}(u_0)$ are linearly independent.
- ii) Provided i) holds, the envelope is smooth at x₀ provided H(ñ) · h is nonsingular for u = u₀.
- iii) Provided ii) holds, the normal to the surface at \mathbf{x}_0 is $\mathbf{n}(u_0)$ and Π_{u_0} is the tangent plane at \mathbf{x}_0 .

Proof of Proposition 5.1. We use the line of reasoning for Proposition 3.4. the condition that a point \mathbf{x}_0 belong to the envelope of $\{\Pi_u\}$ is that it satisfy the system of equations (3.2). A sufficient condition that these equations have a unique solution for $u = u_0$ is exactly that $\mathbf{n}(u_0), \mathbf{n}_{u_1}(u_0), \ldots, \mathbf{n}_{u_{n-1}}(u_0)$ are linearly independent.

Furthermore, if this is true at u_0 then it is true in a neighborhood of u_0 . Thus, we have a unique smooth mapping $\mathbf{x}(u)$ from a neighborhood of u_0 to \mathbb{R}^n . By the argument used to deduce (3.4), we also conclude

(5.1)
$$\mathbf{n}(u) \cdot \mathbf{x}_{u_i} = 0, \quad i = 1, \dots, n-1$$

Hence, if $\mathbf{x}(u)$ is nonsingular at u_0 , then $\mathbf{n}(u_0)$ is the normal vector to the envelope hypersurface at \mathbf{x}_0 , so the tangent plane is Π_{u_0} . Thus iii) is true.

It remains to establish the criterion for smoothness in ii). As earlier mentioned the envelope in the neighborhood of a point \mathbf{x}_0 is the discriminant of the projection of $V = \{(\mathbf{x}, u) : F(\mathbf{x}, u) = \mathbf{n}(u) \cdot \mathbf{x} - c(u) = 0\}$ to \mathbb{R}^n . It is a standard classical result that at a point $(\mathbf{x}_0, u_0) \in V$, which projects to an envelope point \mathbf{x}_0 , the envelope is smooth at \mathbf{x}_0 provided (\mathbf{x}_0, u_0) is a regular point of F (so V is smooth in a neighborhood of (\mathbf{x}_0, u_0)) and the partial Hessian $(\frac{\partial^2 F}{\partial u_i u_j}(\mathbf{x}_0, u_0))$ is nonsingular. For our particular F this Hessian becomes $H(\mathbf{n}) \cdot \mathbf{x}_0 - H(c)$, where $H(\mathbf{n})$ is the $n \times n$ matrix $(\mathbf{n}_{u_i u_j})$, and $H(\mathbf{n}) \cdot \mathbf{x}_0$ is the $(n-1) \times (n-1)$ matrix whose entries are $\mathbf{n}_{u_i u_j} \cdot \mathbf{x}_0$.

Now \mathbf{x}_0 is the unique solution of the system of linear equations (3.2). This solution is given by by Cramer's rule. Let $N(u_0)$ denote the $n \times n$ matrix with columns $\mathbf{n}(u_0), \mathbf{n}_{u_1(u_0)}, \ldots, \mathbf{n}_{u_{n-1}(u_0)}$. Then, by Cramer's rule, if we multiply \mathbf{x}_0 by $\det(N(u_0))$ we obtain $(-1)^n \tilde{\mathbf{h}}$. Thus, multiplying $H(\mathbf{n}) \cdot \mathbf{x}_0 - H(c)$ by $\det(N(u_0))$ yields $(-1)^n (H(\mathbf{n}), -H(c)) \cdot \tilde{h}$ which is exactly $(-1)^n H(\tilde{\mathbf{n}}) \cdot \tilde{\mathbf{h}}$. Hence, the nonsingularity of $H(\tilde{\mathbf{n}}) \cdot \tilde{\mathbf{h}}$ implies that of $(\frac{\partial^2 F}{\partial u_i u_j}(\mathbf{x}_0, u_0))$.

Although Proposition 5.1 handles the case of a smooth manifold in \mathcal{R} , we saw in §3 that usually the image in \mathcal{R} of a generic hypersurface M in \mathbb{R}^n will have Legendrian singularities and the image itself is a Whitney stratified set \tilde{M} . Next, we deduce the condition ensuring that the envelope is smooth at a singular point \mathbf{x}_0 .

Because M has Legendrian singularities, it has a special property. to expain it we introduce a special property for Whitney stratified sets.

Definition 5.2. An *m*-dimensional Whitney stratified set $M \subset \mathbb{R}^k$ has the Unique Limiting Tangent Space Property (ULT property) if for any $x \in M_{sing}$, a singular point of M, there is a unique *m*-plane $\Pi \subset \mathbb{R}^k$ such that for any sequence $\{x_i\}$ of smooth points in M_{reg} such that $\lim x_i = x$, we have $\lim T_{x_i}M = \Pi$

Lemma 5.3. For a generic hypersurfaces $M \subset \mathbb{R}^n$, if $z \in \tilde{M}$, then \tilde{M} can be locally represented in a neighborhood of z as a finite transverse union of (n-1)-dimensional Whitney stratified sets Y_i each having the ULT property.

Transverse union means that if W_{ij} is the stratum of Y_i containing z than the W_{ij} intersect transversally.

Proof. The Lemma follows because \tilde{M} consists of generic Legendrian singularities, which are either stable (or topologically stable) Legendrian singularities. These are

either discriminants of stable unfoldings of multigerms of hypersurface singularities or transverse sections of such. Such discriminants are transverse unions of discriminants of individual hypersurface singularities, each of which have the ULT property by a result of Saito [Sa]. This continues to hold for transverse sections. \Box

We shall refer to these as the *local components* of \tilde{M} in a neighborhood of z. There is then a corollary of the preceding.

Corollary 5.4. Suppose that \tilde{M} is an (n-1)-dimensional Whitney stratified set in \mathcal{R} such that: at every smooth point z of \tilde{M} , the hypotheses of Proposition 5.1 holds; and \tilde{M} is at all singular points locally the finite union of Whitney stratified sets Y_i each having the ULT property. Then,

- i) The envelope of M of M has a unique point x ∈ M for each z ∈ M_{reg}, and M is smooth at all points corresponding to points in M_{reg}.
- ii) At each singular point z of M, there is a point in M corresponding to each local component of M in a neighborhood of z.

Proof. First, if $z \in \tilde{M}_{reg}$ and satisfies the conditions of Proposition 5.1, then there is a unique envelope point corresponding to z and the envelope is smooth at that point.

Second, via the isomorphism $\tilde{\nu}$ and the commutative diagram (3.1), the envelope construction corresponds to the inverse δ^{\vee} of δ (or rather a local version since we have an orientation). Under the isomorphism $\tilde{\nu}$, for each point $z \in \tilde{M}_{sing}$ there corresponds a unique point in the envelope for each local component of \tilde{M} containing z. It is obtained as δ^{\vee} applied to the unique limiting tangent space of z associated to the local component in \tilde{M}_{reg} .

6. Induced Geodesic Flow between Hypersurfaces

We can bring together the results of the previous sections to define the Lorentzian geodesic flow between two smooth generic hypersurfaces with a correspondence. We denote our hypersurfaces by M_0 and M_1 and let $\chi : M_0 \to M_1$ be a diffeomorphism giving the correspondence. Note that we allow the hypersurfaces to have boundaries.

We suppose that both are oriented with unit normal vector fields \mathbf{n}_0 and \mathbf{n}_1 . We also need to know that they have a "local relative orientation".

Definition 6.1. We say that the oriented manifolds M_0 and M_1 , with unit normal vector fields \mathbf{n}_0 and \mathbf{n}_1 , and with correspondence $\chi : M_0 \to M_1$ are relatively oriented if for each $x_0 \in M_0$, $\mathbf{n}_0(x_0) \neq -\mathbf{n}_1(\chi(x_0))$.

Theorem 6.2. 1) Suppose smooth generic hypersurfaces M_0 and M_1 are oriented by smooth unit normal vector fields \mathbf{n}_i , i = 0, 1 and are relatively oriented. Then , there is a unique Lorentzian geodesic flow M_t between $\tilde{M}_0 = M_0 \mathcal{L}$ and $\tilde{M}_1 = M_1 \mathcal{L}$ which is smooth.

2) Moreover, the association is stable in the following sense. Let $\chi_s : M_{0s} \to M_{1s}$ be a smooth family of diffeomorphisms between smooth families of hypersurfaces for $s \in S$, a smooth manifold (i.e. M_{is} is the image of $M_i \times S$ under a smooth family of embeddings) so that M_{0s} and M_{1s} are relatively oriented for χ_s for each s. Then, the family of Lorentzian Geodesic flows $\tilde{\psi}_{s,t}$ between \tilde{M}_{0s} and \tilde{M}_{1s} is a smooth function of x, t, and s.

Proof. For $x \in M_0$, suppose the tangent space $T_x M_0$ is defined by $\mathbf{n}_0(x) \cdot \mathbf{x} = c_0(x)$, and similarly $T_y M_1$ is defined by $\mathbf{n}_0(y) \cdot \mathbf{x} = c_1(y)$. It follows from relative orientation that for each x_0 , there is a unique shortest geodesic $(\mathbf{n}_t(x_0), c_t(x_0))$ in Λ^{n+1} from $(\mathbf{n}_0(x_0), c_0(x_0))$ to $(\mathbf{n}_1(\chi(x_0)), c_1(\chi(x_0)))$.

First, to establish the smoothness of the geodesic flow, we note that by (6) of (2.1) if $\mathbf{n}_0(x_0) \neq -\mathbf{n}_1(\chi(x_0))$, then there is a neighborhood $x_0 \in W \subset M_0$ where the shortest geodesic between $(\mathbf{n}_0(x), c_0(x)\epsilon)$ and $(\mathbf{n}_1(\chi(x)), c_1(\chi(x))\epsilon)$ depends smoothly on the end points. Here for $x \in M_0$, we suppose the tangent space $T_x M_0$ is defined by $\mathbf{n}_0(x) \cdot \mathbf{x} = c_0(x)$, and similarly $T_y M_1$ is defined by $\mathbf{n}_0(y) \cdot \mathbf{x} = c_1(y)$.

Hence, the Lorentzian flow is locally smooth and by the relative orientation, it is well–defined everywhere. Hence it is a globally smooth well-defined flow between $(\mathbf{n}_0(x), c_0(x)\boldsymbol{\epsilon})$ and $(\mathbf{n}_1(\chi(x)), c_1(\chi(x))\boldsymbol{\epsilon})$ for each $x \in M_0$.

For 2), we use an analogous argument. Given the unique geodesic joining $(\mathbf{n}_{0 s_0}(x_0), c_{0 s_0}(x_0)\boldsymbol{\epsilon})$ and $(\mathbf{n}_{1 s_0}(\chi_{s_0}(x_0)), c_{1 s_0}(\chi_{s_0}(x_0))\boldsymbol{\epsilon})$, then there exists a neighborhood W of (x_0, s_0) so that for $(x, s) \in W$ there is a unique minimal geodesic between $(\mathbf{n}_{1s}(\chi_s(x)), c_{1s}(\chi_s(x))\boldsymbol{\epsilon})$ and $(\mathbf{n}_{1s}(\chi_s(x)), c_{1s}(\chi_s(x))\boldsymbol{\epsilon})$, and the geodesics depend smoothly on (x, s).

Thus, the global Lorentzian geodesic flow is uniquely defined and locally depends smoothly on (x, s); hence so does the global flow.

Remark. We note there are two consequences of 2) of Theorem 6.2. First, M_0 and M_1 may remain fixed, but the correspondence χ varies in a family. Then the corresponding Lorentzian geodesic flows vary in a family. Second, M_0 and M_1 may vary in a family with a corresponding varying correspondence, then the Lorentzian geodesic flow will also vary smoothly in a family.

It remains to determine when the corresponding Lorentzian geodesic flows in \mathbb{R}^n will have analogous properties.

We consider the vector fields on M_0 , $\mathbf{n}_0(x)$ and $\mathbf{n}_1(\chi(x))$. For any vector field $\mathbf{n}(x)$ on M_0 with values in \mathbb{R}^n , we let $N(x) = (\mathbf{n}(x) | d\mathbf{n}(x))$ be the $n \times n$ matrix with columns $\mathbf{n}(x)$ viewed as a column vector and $d\mathbf{n}(x)$ the $n \times (n-1)$ Jacobian matrix. If we have a local parametrization $\mathbf{x}(u)$ of M_0 , then we may represent the vector field \mathbf{n} as a function of u, $\mathbf{n}_0(u)$. Then $N(\mathbf{x}(u))$ is the $n \times n$ matrix with columns $\mathbf{n}(u), \mathbf{n}_{u_1}(u), \ldots, \mathbf{n}_{u_{n-1}(u)}$. We denote the matrix for \mathbf{n}_0 by $N_0(x)$, and that for $\mathbf{n}_1(\chi(x))$ by $N_1(x)$ (or $N_0(u)$ and $\mathbf{n}_1(\chi(u))$ if we have parametrized M_0 .

Consider the Lorentzian geodesic flow $\psi_t(x) = (\mathbf{n}_t(x), c_t(x))$ between $\mathcal{L}(x) = (\mathbf{n}_0(x), c_0(x))$ and $\mathcal{L}(\chi(x)) = (\mathbf{n}_1(\chi(x)), c_1(\chi(x)))$ for all $x \in M_0$. We let $\tilde{M}_t = \tilde{\psi}_t(M_0)$, and we let M_t denote the envelope of \tilde{M}_t .

We introduce one more function.

$$\sigma(x,\theta) = \frac{\cos((1-x)\theta)\sin(x\theta) - x\sin\theta}{\sin(x\theta)\sin\theta} = \frac{\cos((1-x)\theta)}{\sin\theta} - \frac{x}{\sin(x\theta)}$$

if $0 < |\theta| < \pi$, and

$$\sigma(x,0) = 0$$

Then there are the following properties for the envelopes M_t of the flow for all time $0 \le t \le 1$.

Theorem 6.3. Suppose smooth generic hypersurfaces M_0 and M_1 are oriented by smooth unit normal vector fields \mathbf{n}_i , i = 0, 1 and are relatively oriented. Let $\tilde{\psi}_t$ be the Lorentzian geodesic flow between \tilde{M}_0 and \tilde{M}_1 is smooth. If M_t is the family of envelopes obtained from the flow $\tilde{M}_t = \tilde{\psi}_t(\tilde{M}_0)$, then suppose that for each time t, \tilde{M}_t has only generic Legendrian singularities as in §3 (as e.g. in Fig. 4). Then,

(1) M_t will have a unique point corresponding to $z = \tilde{\psi}_t(x) \in \tilde{M}_t$ provided

(6.1)
$$N'_t(x) \stackrel{def}{=} \lambda(t,\theta) N_1((x)) + \lambda(1-t,\theta) N_0(x) + \sigma(t,\theta) \frac{\partial \theta}{\partial \mathbf{u}} \mathbf{n}_0(t,\theta)$$

is nonsingular. Here $\frac{\partial \theta}{\partial \mathbf{u}} \mathbf{n}_0$ is the matrix whose first column equals the vector 0 and whose j+1-th column is the vector $\frac{\partial \theta}{\partial u_j} \mathbf{n}_0$, for $j = 1, \ldots, n-1$.

- (2) The envelope M_t will be smooth at points corresponding to a smooth point $z \in \tilde{M}_t$ satisfying (6.1) provided $H(\tilde{\mathbf{n}}_t(x)) \cdot \tilde{\mathbf{h}}_t(x)$ is nonsingular. Here $\tilde{\mathbf{h}}_t(x)$ is defined from $\tilde{\mathbf{n}}_t(x)$ as in §5.
- (3) At points corresponding to singular points z ∈ M
 _t, there is a unique point on M_t for each local component of M in a neighborhood of z. This point is the unique limit of the envelope points corresponding to smooth points of the component of M_t approaching z.

Proof of Theorem 6.3. For 2), given that 1) holds, we may apply ii) of Proposition 5.1. For 3) we may apply Corollary 5.4. To prove 1), we will apply i) of Proposition 5.1. We must give a sufficient condition that N(x) is nonsingular. We choose local coordinates u for a neighborhood of \mathbf{x}_0 . For a geodesic $(\mathbf{n}_t(u), c_t(u)\boldsymbol{\epsilon})$ between $(\mathbf{n}_0(u), c_0(u)\boldsymbol{\epsilon})$ and $(\mathbf{n}_1(u), c_1(u)\boldsymbol{\epsilon})$ given by (4.3), we must compute $\mathbf{n}_{t\,u_i}(u)$. We note that not only $\mathbf{n}_i, i = 1, 2$ but also θ depends on u. We obtain

(6.2)
$$\mathbf{n}_{t u_{i}} = \lambda(t,\theta) \, \mathbf{n}_{1 u_{i}} + \lambda(1-t,\theta) \, \mathbf{n}_{0 u_{i}} + \frac{\partial \lambda(t,\theta)}{\partial u_{i}} \, \mathbf{n}_{1} + \frac{\partial \lambda(1-t,\theta)}{\partial u_{i}} \, \mathbf{n}_{0}$$

Then, $\frac{\partial \lambda(t,\theta)}{\partial u_i} = \frac{\partial \theta}{\partial u_i} \frac{\partial \lambda(t,\theta)}{\partial \theta}$. First suppose $\theta \neq 0$, then we compute

(6.3)
$$\frac{\partial \lambda(x,\theta)}{\partial \theta} = \frac{x \sin(\theta) \cos(x\theta) - \sin(x\theta) \cos\theta}{\sin^2 \theta}$$

Applying (6.3) with x = t and 1 - t, we obtain for the last two terms on the RHS of (6.2).

Remark. If $\mathbf{n}_1(\chi(x_0)) \neq \mathbf{n}_0(x_0)$, then there is a neighborhood $x_0 \in W \subset M_0$ such that $\mathbf{n}_1(\chi(x)) \neq \mathbf{n}_0(x)$ for $x \in W$. Then, there is a smooth unit tangent vector field **w** defined on W such that $\mathbf{n}_1(\chi(x))$ lies in the vector space spanned by $\mathbf{n}_0(x)$ and $\mathbf{w}(x)$, and $\mathbf{n}_1(\chi(x)) \cdot \mathbf{w}(x) \geq 0$ for all $x \in W$. Then, smoothness follows explicitly using the geodesics given in (4.3).

7. Flows in Special Cases

We determine the form of the Lorentzian geodesic flow in several special cases.

Hypersurfaces Obtained by a Translation. Suppose that we obtain M_1 from M_0 by translation by a vector \mathbf{p} and the correspondence associates to $\mathbf{x} \in M_0$, $\mathbf{x} + \mathbf{p} \in M_1$. Let \mathbf{n}_0 be a smooth unit normal vector field on M_0 . The derivative of the translation map is the identity; hence, under translation \mathbf{n}_0 is mapped to itself translated to $\mathbf{x}' = \mathbf{x} + \mathbf{p}$. Thus, under the correspondence, $\mathbf{n}_1 = \mathbf{n}_0$. Also, If $\mathbf{n}_0 \cdot \mathbf{x} = c_0$ is the equation of the tangent plane for M_0 at a point \mathbf{x} , then the tangent plane of M_1 at the point \mathbf{x}' is

$$\mathbf{n}_1 \cdot \mathbf{x}' = \mathbf{n}_0 \cdot (\mathbf{x} + \mathbf{p}) = c_0 + \mathbf{n}_0 \cdot \mathbf{p}$$

Hence, $c_1 = c_0 + \mathbf{n}_0 \cdot \mathbf{p}$.

As $\mathbf{n}_0 = \mathbf{n}_1$, $\theta = 0$. Thus the geodesic flow on \mathcal{R} is given by

 $t(\mathbf{n}_0, c_1 \boldsymbol{\epsilon}) + (1-t)(\mathbf{n}_0, c_0 \boldsymbol{\epsilon}) = (\mathbf{n}_0, c_0 \boldsymbol{\epsilon}) + (0, (t\mathbf{n}_0 \cdot \mathbf{p})\boldsymbol{\epsilon}) = (\mathbf{n}_0, (\mathbf{n}_0 \cdot (\mathbf{x} + t\mathbf{p}))\boldsymbol{\epsilon})$

Thus, at time t the tangent space is translated by $t\mathbf{p}$. Thus the envelope of these translated hyperplanes is the translation of M_0 by $t\mathbf{p}$. Hence, we conclude

Corollary 7.1. If M_1 is the translation of M_0 by \mathbf{p} , then the Lorentzian geodesic flow is translation by $t\mathbf{p}$.

Second we consider the case of a homothety.

Hypersurfaces Obtained by a Homothety. Suppose that we obtain M_1 from M_0 by multiplication by a constant b and the correspondence associates to $\mathbf{x} \in M_0$, $\mathbf{x}' = c\mathbf{x} \in M_1$. The derivative of the multiplication map by b is multiplication by b; hence, under the multiplication map $T_{\mathbf{x}}M_0$ is mapped to $T_{\mathbf{x}'}M_1$. If \mathbf{n}_0 is a smooth unit normal vector field on M_0 , then \mathbf{n}_0 remains normal to $T_{\mathbf{x}'}M_1$. Hence, $\mathbf{n}_1 = \mathbf{n}_0$ translated to \mathbf{x}' . Also, if $\mathbf{n}_0 \cdot \mathbf{x} = c_0$ is the equation of the tangent plane for M_0 at a point \mathbf{x} , then the tangent plane of M_1 at the point \mathbf{x}' is

$$\mathbf{n}_1 \cdot \mathbf{x}' = \mathbf{n}_0 \cdot (b\mathbf{x}) = bc_0$$

Hence, $c_1 = bc_0$.

Again $\mathbf{n}_0 = \mathbf{n}_1$ so $\theta = 0$. Thus the geodesic flow on \mathcal{R} is given by

$$t(\mathbf{n}_0, c_1 \boldsymbol{\epsilon}) + (1-t)(\mathbf{n}_0, c_0 \boldsymbol{\epsilon}) = (\mathbf{n}_0, (tb + (1-t))c_0 \boldsymbol{\epsilon})$$

Thus, at time t the tangent plane is transformed by multiplication by (tb + (1-t)). Thus the envelope of these hyperplanes is M_0 multiplied by (tb + (1-t)). Hence, we conclude

Corollary 7.2. If M_1 is obtained from M_0 by multiplication by the constant b, then the Lorentzian geodesic flow is the family of hypersurfaces obtained by applying to M_0 the family of homotheties, multiplication by (tb + (1 - t)).

Third, we consider the case of a rotation.

Hypersurfaces Obtained by a Rotation. Suppose that we obtain M_1 from M_0 by a rotation A about the origin in a plane (which pointwise fixes an orthogonal subspace. Choosing coordinates, we may assume that the rotation A is in the (x_1, x_2) -plane and rotates by an angle ω . We also suppose the correspondence associates to $\mathbf{x} \in M_0$, $\mathbf{x}' = A(\mathbf{x}) \in M_1$. Consider a tangent space at $\mathbf{x} \in M_0$, defined by $\mathbf{n}_0 \cdot \mathbf{x} = c_0$. As $A(\mathbf{n}_0) \cdot A(\mathbf{x}) = \mathbf{n}_0 \cdot \mathbf{x} = c_0$, if we let $\mathbf{x}' = A(\mathbf{x})$, then the equation of the tangent plane for M_1 at \mathbf{x}' is defined by $A(\mathbf{n}_0) \cdot \mathbf{x}' = c_0$. Hence, $\mathbf{n}_1 = A(\mathbf{n}_0)$ and $c_1 = c_0$.

To express the geodesic flow, we write $\mathbf{n}_0 = \mathbf{v} + \mathbf{p}$ where \mathbf{v} is in the rotation plane and \mathbf{p} is fixed by A. Hence, $\mathbf{n}_1 = A(\mathbf{v}) + \mathbf{p}$. Thus, the angle θ between \mathbf{n}_0 and \mathbf{n}_1 satisfies

$$\cos \theta = \mathbf{n}_1 \cdot \mathbf{n}_0 = A(\mathbf{v}) \cdot \mathbf{v} + \mathbf{p} \cdot \mathbf{p}$$

As $\|\mathbf{n}_0\| = 1$, we obtain $\mathbf{v} \cdot \mathbf{v} + \mathbf{p} \cdot \mathbf{p} = 1$. Also, $A(\mathbf{v}) \cdot \mathbf{v} = \|\mathbf{v}\|^2 \cos \omega$. Hence,

(7.1)
$$\cos\theta = 1 + \|\mathbf{v}\|^2 (\cos\omega - 1)$$

 \mathbf{c}

We also compute $\mu(t,\theta) = \lambda(t,\theta) + \lambda(1-t,\theta)$ using the formula $\sin(x) + \sin(y) = 2\cos(\frac{1}{2}(x+y))\sin(\frac{1}{2}(x-y))$ and $\sin\theta = 2\sin(\frac{1}{2}\theta)\cos(\frac{1}{2}\theta)$, and obtain

(7.2)
$$\mu(t,\theta) = \lambda(t,\theta) + \lambda(1-t,\theta) = \frac{\cos((\frac{1}{2}-t)\theta)}{\cos(\frac{1}{2}\theta)}$$

Using the expressions for \mathbf{n}_0 and \mathbf{n}_1 , we find the geodesic flow is given by

$$= \lambda(t,\theta) \left(A(\mathbf{n}_0), c_0 \boldsymbol{\epsilon} \right) + \lambda(1-t,\theta) \left(\mathbf{n}_0, c_0 \boldsymbol{\epsilon} \right)$$

(7.3) =
$$((\lambda(t,\theta)A(\mathbf{v}) + \lambda(1-t,\theta)\mathbf{v}) + \mu(t,\theta)\mathbf{p}, \ \mu(t,\theta)c_0\boldsymbol{\epsilon})$$

We note that $\mu(t,\theta)$ is a function on [0,1] which has value = 1 at the end points, and has a maximum = $\sec(\frac{1}{2}\theta)$ at $t = \frac{1}{2}$. Thus, the geodesic flow $(\mathbf{n}_t, c_t \epsilon)$ has the contribution in the rotation plane given by $\lambda(t,\theta)A(\mathbf{v}) + \lambda(1-t,\theta)\mathbf{v}$ which is not a true rotation from \mathbf{v} to $A(\mathbf{v})$. Also, the other contribution to \mathbf{n}_t is from $\mu(t,\theta)\mathbf{p}$ which increases and then returns to size \mathbf{p} (see Fig. 5). In addition, the distance from the origin will vary by $\mu(t,\theta)c_0$. These form a type of "pseudo rotation". This yields the following corollary.

Corollary 7.3. If M_1 is obtained from M_0 by rotation in a plane (with fixed orthogonal complement), then the Lorentzian geodesic flow is the family of hypersurfaces obtained by applying to M_0 the family of pseudo rotations given by (7.3).



FIGURE 5. Lorentzian Geodesic Flow between a surface and a rotated copy is given by a "pseudo–rotation". The path of the rotation is indicated by the dotted curve, while that for the pseudo rotation is given by the broken curve, which lifts out of the plane of rotation before returning to it.

Invariance under Scalar Multiplication and Rigid Motions. We can use the calculations used in the preceding to establish the invariance of the Lorentzian geodesic flow under scalar multiplication and rigid motions.

Suppose Π is a hyperplane in \mathbb{R}^n defined by (\mathbf{n}, c) . If ϕ is a transformation defined by: multiplication by b; respectively translation by \mathbf{p} ; respectively orthogonal transformation A, then $\Pi' = \phi(\Pi)$ is defined by: (\mathbf{n}, bc) ; respectively $(\mathbf{n}, c + \mathbf{n} \cdot \mathbf{p})$; respectively $(A(\mathbf{n}), c)$ Now suppose Π_t , defined by $\psi(t) = (\mathbf{n}_t, c_t)$, is a Lorentzian geodesic flow between Π_0 and Π_1 .

Let ϕ be one of: multiplication by b; respectively translation by **p**; respectively orthogonal transformation A. Let $\Pi'_t = \psi(\Pi_t)$. Then, by (4.3)

(7.4)
$$(\mathbf{n}_t, c_t) = (\lambda(t, \theta)\mathbf{n}_1 + \lambda(1 - t, \theta)\mathbf{n}_0, \lambda(t, \theta)c_1 + \lambda(1 - t, \theta)c_0)$$

First, in the case of multiplication by b, Π'_t is given by

(7.5)
$$(\mathbf{n}_t, bc_t) = (\lambda(t, \theta)\mathbf{n}_1 + \lambda(1 - t, \theta)\mathbf{n}_0, \lambda(t, \theta)bc_1 + \lambda(1 - t, \theta)bc_0)$$

which is the Lorentzian geodesic flow between (\mathbf{n}_0, bc_0) and (\mathbf{n}_1, bc_1) .

An analogous argument works for the other two cases using the forms of Π' given above. As a general composition of scalar multiplication and rigid transformations is given as a composition of these three, the invariance follows.

8. Results for the Case of Surfaces in \mathbb{R}^3 (still to be completed)

First, suppose that $M \subset \mathbb{R}^3$ is a generic smooth surface with $\mathbf{n} = (a_1, a_2, a_3)$ a smooth unit normal vector field on M. We assume that $X(u_1, u_2)$ is a local parametrization of M. Also, let $\mathbf{n}(u) \cdot \mathbf{x} = c(u)$ define the tangent plane to M at $X(u_1, u_2)$.

Let $\mathcal{L}: M \to \mathcal{R}$ be the Lorentz map, and let $\tilde{M} = \mathcal{L}(M)$. generic Legendrian singularities as in §3 (as e.g. in fig. 3.1)

(1) M_t will have a unique point corresponding to $z = \tilde{\psi}_t(x) \in \tilde{M}_t$ provided

(8.1)
$$N'_t(x) = \lambda(t,\theta) N_1((x)) + \lambda(1-t,\theta) N_0(x) + \sigma(t,\theta) \frac{\partial \theta}{\partial \mathbf{u}} \mathbf{n}_0$$

is nonsingular (as in (6.1)).

- (2) At points on cuspidal edges or swallowtail points $z \in \tilde{M}_t$, there is a unique point on M_t which is the unique limit of the envelope points corresponding to smooth points of \tilde{M}_t approaching z.
- (3) At points $z \in M_t$ which are tranverse intersections of two or three smooth (n-1)-dimensional submanifolds, or the transverse intersection of a smooth manifold and a cuspidal edge, there is a unique point in M_t for each smooth (n-1)-dimensional submanifold passing through z (and one for the cuspidal edge).
- (4) The envelope M_t will be smooth at points corresponding to a smooth point $z \in \tilde{M}_t$ satisfying (6.1) provided $H(\tilde{\mathbf{n}}_t(x)) \cdot \tilde{\mathbf{h}}_t(x)$ is nonsingular. Here $\tilde{\mathbf{h}}_t(x)$ is defined from $\tilde{\mathbf{n}}_t(x)$ as in §5.

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